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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: February 12, 2009

(use as many sheets as necessary)

**Complete if Known**

<b>Application Number</b>	10/667,470
<b>Filing Date</b>	09/23/2003
<b>First Named Inventor</b>	Rajeev A. JAIN et al.
<b>Art Unit</b>	1614
<b>Examiner Name</b>	Brian Yong S. Kwon

Sheet

1

of

2

Attorney Docket Number

029318-0972

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
A1	5,298,262*		03/29/1994	Na et al.	
A2	6,177,103		01/2001	Pace et al.	
A3	5,133,908**		07/28/1992	Stainmesse et al.	
A4	5,889,088***		03/30/1999	Kisuno et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
A5	*EP 0 600 532 A2		06/08/1994	Sterling Winthrop Inc.		
A6	JP 6-227967		08/16/1994	Sterling Winthrop Inc.	*Eng. Abstract and U.S. and EP Equivalent	
A7	WO 00/13672		03/16/2000	Nanosystems		
A8	JP 63-240936		10/06/1988	CENTRE NAT RECH SCIENT	**U.S. Equivalent	
A9	JP 09-271658		10/21/1997	HODOGAYA CHEM CO LTD.	***U.S. Equivalent and English Abstract	
A10	WO 98/29098		07/09/1998	INHALE THERAPEUTIC SYSTEMS, INC.		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A11	Notice of Rejections for Japanese Patent Application No. 2001-529425, dated Jan. 6, 2009, 5 pgs.	

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	A12	Calvo et al., "Development of Positively Charged Colloidal Drug Carriers: Chitosan-Coated Polyester nanocapsules and Submicron-Emulsions," Colloid. Polym. Sci., 275, pp. 46-53 (1997).		
	A13	Rock et al., "Control of Calcium Carbonate Particle Size and Shape by Precipitation from CTAB/Alcohol/Hexadecane Mixtures," Colloid. Polym. Sci., 275, pp. 893-896 (1997).		
	A14	Notice of Rejections for Japanese Patent Application No. 2001-583733, dated Jan. 6, 2009., 5 pgs.		
	A15	Notice of Rejections for Japanese Patent Application No. 2002-528199, dated Oct. 30, 2008, 3 pgs.		
	A16	Office Action dated Dec. 12, 2008 for related co-pending Application No. 12/078,027, 12 pgs.		

Examiner Signature	Date Considered
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